

# ~~Lecture 3~~

①

~~Naturality~~

Lecture 2 continued.

First: errata:

Def<sup>n</sup>: A bijection  $f: A \rightarrow B$  is a function which is injective and surjective.

Non-tautological statement:

Lemma:  $f: A \rightarrow B$  is a bijection iff there exists  $g: B \rightarrow A$  such that  $f \circ g = \text{Id}_B$  and  $g \circ f = \text{Id}_A$ .

In other words:  $f$  is a bijection iff it is an ~~isomorph~~ isomorphism in the category of sets.

Claim:  $F: \mathbb{C}\text{-Vect}^{\text{op}} \longrightarrow \mathbb{C}\text{-Vect}$

$$\begin{array}{ccc} \vee & \xrightarrow{\quad} & \vee^* \\ (f: V \rightarrow W) & \xrightarrow{\quad} & (f^*: W^* \rightarrow V^*) \\ \uparrow & & \uparrow \\ & & e \xrightarrow{\quad} e \circ f \end{array}$$

$\in \mathbb{C}\text{-Vect}$

(Contravariant)  
is a functor.

In  $\mathbb{C}\text{-Vect}$

Proof: Given  $f: V \rightarrow W, g: W \rightarrow Y$ , we have: (for any  $e \in Y^*$ ):

$$F(g \circ f)(e) = (g \circ f)^*(e) = e \circ g \circ f$$

~~$$F(g) \circ F(f)(e) = F(g)(e \circ f) = e \circ f \circ g$$~~

$$(F(f) \circ F(g))(e) = F(f)(e \circ g) = e \circ g \circ f$$

$$\text{So } F(g \circ f) = F(f) \circ F(g)$$

Moreover: For  $\text{id}: X \rightarrow X$

$$F(\text{Id})(e) = e \circ \text{id} = e = \text{Id}_{X^*}(e).$$

$$\text{So } F(\text{Id}_X) = \text{Id}_{X^*}.$$

□

Lemma: The composition of two functors is a functor.

Proof: Let  $F: \mathcal{C} \rightarrow \mathcal{D}$ ,  $G: \mathcal{D} \rightarrow \mathcal{E}$  be functors.

For any pair of morphisms  $f: X \rightarrow Y, g: Y \rightarrow Z$  in  $\mathcal{C}$ :

$$\begin{aligned} (G \circ F)(g \circ f) &= G(F(g \circ f)) = G(F(g) \circ F(f)) \\ &= G(F(g)) \circ G(F(f)) \\ &= (G \circ F)(g) \circ (G \circ F)(f). \end{aligned}$$

$$\begin{aligned} \text{Moreover: } (G \circ F)(\text{Id}_X) &= G(F(\text{Id}_X)) \\ &= G(\text{Id}_{FX}) \\ &= \text{Id}_{G(FX)} \end{aligned}$$

□

Thus:

$$\begin{array}{ccc} \mathcal{C}\text{-Vect} & \longrightarrow & \mathcal{C}\text{-Vect} \\ \downarrow & & \downarrow \\ \mathcal{V} & \longrightarrow & \mathcal{V}^{**} \end{array}$$

$$(f: V \rightarrow W) \longmapsto f^{**}: V^{**} \rightarrow W^{**}$$

is a functor.

$L: \mathbb{Z} \longrightarrow \mathbb{Q}$  is epic in the category  $\text{Rings}$ . (3)

Proof: Let  $g, h: \mathbb{Q} \longrightarrow R$  be arbitrary ring homomorphisms ~~into~~ <sup>with codomain given by</sup> some ring  $R$ .

~~We use the following general fact:~~

~~If  $f: S \longrightarrow T$  is a ring homomorphism and  $s \in S$  has an inverse ~~in  $S$~~~~

Then  $\forall a/b \in \mathbb{Q}$  we have:

$$\begin{aligned} g(a/b) &= g(a/1 \cdot \frac{1}{b}) \\ &= g(a/1) \cdot g(\frac{1}{b}) \\ &= g(a/1) \cdot g(\frac{1}{b})^{-1}, \quad (\text{As } g(\frac{1}{b}) \cdot g(b/1) = g(b/b) \\ &= g(L(a)) \cdot g(L(b))^{-1} \quad (*) \quad \begin{array}{l} = g(1) \\ = 1, \end{array} \end{aligned}$$

So if  $g \circ L = h \circ L$ , then

$$\begin{aligned} (*) &= h(L(a)) \cdot h(L(b))^{-1} \\ &= h(a/1) \cdot h(b/1)^{-1} \\ &= h(a/1) \cdot h(\frac{1}{b}) \\ &= h(a/1 \cdot \frac{1}{b}) \\ &= h(a/b) \end{aligned}$$

So  $g = h$ . □

Now consider  $L: \mathbb{Z} \rightarrow \mathbb{Q}$  in the category of groups

Consider <sup>the following</sup> two group homomorphisms:

$$g: \mathbb{Q} \longrightarrow \mathbb{Q}/\mathbb{Z}$$

$$g \uparrow \longrightarrow [q]$$

$$h: \mathbb{Q} \longrightarrow \mathbb{Q}/\mathbb{Z}$$

$$h \uparrow \longrightarrow [2q]$$

$$\text{Then } g\left(\frac{1}{2}\right) = \left[\frac{1}{2}\right] \neq [0] = h\left(\frac{1}{2}\right)$$

$$\text{So } g \neq h.$$

$$\text{But } g \circ L = h \circ L = 0.$$

Conclusion:

$L$  is epic in Rings,

$L$  is not epic in Groups.

CONTEXT MATTERS!!!

A monoid is a set  $M$  along with a multiplication function (5)

$$\cdot : M \times M \longrightarrow M$$

along with an identity element  $e \in M$  such that:

- $\forall m_1, m_2, m_3 \in M, m_1 \cdot (m_2 \cdot m_3) = (m_1 \cdot m_2) \cdot m_3$
- $\forall m \in M, m \cdot e = e \cdot m = m$ .

Eg)  $(\mathbb{N}, +, 0)$ .

Lemma: Let  $\mathcal{C}$  be a category with one object,  
Then  $\text{Hom}_{\mathcal{C}}(\cdot, \cdot)$  is a monoid under composition  
with identity element  $\text{Id}$ .

Proof: Let  $f, g, h \in \text{Hom}(\cdot, \cdot)$ .

Since  $\mathcal{C}$  is a category, we know

$$f \circ (g \circ h) = (f \circ g) \circ h$$

which is exactly the condition of associativity  
for monoid multiplication.

Also,  $f \circ \text{Id} = \text{Id} \circ f = f$ , which is exactly  
the identity element condition. □

Similarly:

If  $M$  is a monoid, then  $\{\cdot\}$  along with  
 $\text{Hom}(\cdot, \cdot) := M$  is a category.

## Lecture 3 Naturality.

Def<sup>n</sup>: Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be functors. A natural transformation  $\eta: F \Rightarrow G$  is a collection of morphisms in  $\mathcal{D}$  indexed by the objects in  $\mathcal{C}$

$$\eta = \{ \eta_c: FC \rightarrow GC \mid c \in \mathcal{C} \}$$

subject to the constraint that if  $f: c \rightarrow c'$  is a morphism in  $\mathcal{C}$ , then the following diagram

commutes:

$$\begin{array}{ccc} FC & \xrightarrow{Ff} & FC' \\ \eta_c \downarrow & \eta & \downarrow \eta_{c'} \\ GC & \xrightarrow{Gf} & GC' \end{array}$$

that is,  $Gf \circ \eta_c = \eta_{c'} \circ Ff$ .

Example: (these are everywhere by the way).

~~Let  $(P, \leq)$  be a poset viewed as a category.~~

~~Then a natural transformation functors~~

Let  $G$  be a group. The opposite group  $G^*$  is defined with the same elements, but with multiplication given by:

$$g_2^{op} \cdot g_1^{op} = g_1^{-1} \cdot g_2^{-1}.$$

$$\begin{array}{ccc} \text{Id} : \text{Group} & \longrightarrow & \text{Group} \\ G & \longmapsto & G \\ (f: G \rightarrow H) & \longmapsto & (f: G \rightarrow H) \end{array}$$

$$\begin{array}{ccc} -^* : \text{Group} & \longrightarrow & \text{Group} \\ G & \longmapsto & G^* \\ (f: G \rightarrow H) & \longmapsto & (f: G \rightarrow H) \end{array}$$

Define :  $\eta := \{ \eta_G : G \longrightarrow G^*, \eta_G(g) = g^{-1} \mid G \in \text{Group} \}$

Claim:  $\eta$  is a natural isomorphism.

Proof: Let  $f: G \longrightarrow H$  be arbitrary. Then consider:

$$\begin{array}{ccc} \text{Group } G & \xrightarrow{\text{Id}(f)} & \text{Group } H \\ \eta_G \downarrow & & \downarrow \eta_H \\ G^* & \xrightarrow{f^*} & H^* \end{array}$$

Then for any  $g \in G$  we have:

$$\begin{aligned} (f^* \circ \eta_G)(g) &= f^*(g^{-1}) \\ &= f(g^{-1}) \\ &= f(g)^{-1} \\ &= \eta_H(f(g)) \\ &= (\eta_H \circ \text{Id}(f))(g). \end{aligned}$$

□

$$\begin{array}{ccc} \Phi: \mathcal{C}\text{-Vect} & \longrightarrow & \mathcal{C}\text{-Vect} \\ V & \longmapsto & V^{**} \\ (f: V \rightarrow W) & \longmapsto & (f^{**}: V^{**} \rightarrow W^{**}) \end{array}$$

Then for any  $f: V \rightarrow W$  we have commutativity of:

$$\begin{array}{ccc} V & \xrightarrow{f} & W \\ \Phi_V \downarrow & & \downarrow \Phi_W \\ V^{**} & \xrightarrow{f^{**}} & W^{**} \end{array}$$

Where  $\Phi_V: V \rightarrow V^{**}$  acts as  $\Phi_V(v) = v^{**}$  (defined first lecture).

Proof: Let  $v \in V$  be arbitrary. We need to prove

$$(\Phi_W \circ f)(v) = (f^{**} \circ \Phi_V)(v)$$

these are both elements of the vector space  $W^{**}$ .

Let  $(\varphi: W^* \rightarrow \mathbb{C}) \in W^{**}$  be arbitrary.

We must show:

$$(\Phi_W \circ f)(v)(\varphi) = (f^{**} \circ \Phi_V)(v)(\varphi).$$

We calculate:

$$\begin{aligned} (\Phi_W \circ f)(v)(\varphi) &= (\Phi_W(f(v)))(\varphi) \\ &= \varphi(f(v)) \\ &= \varphi(f(v)). \end{aligned}$$



$$f^{**}: V^{**} \xrightarrow{W^{**}} W^{**} \quad (9)$$

$$\psi \longmapsto \left( \begin{array}{c} \psi \longmapsto \psi(\varphi \circ f) \\ \varphi \in V^* \\ \varphi \longmapsto \varphi \end{array} \right)$$

So again we calculate:

$$\begin{aligned} (f^{**} \circ \Phi_V)(\psi)(\varphi) &= (f^{**}(\mathbb{E}\psi))(\varphi) \\ &= \mathbb{E}\psi(\varphi \circ f) \\ &= \varphi(f(\psi)). \end{aligned}$$

So again,

$$f^{**} \circ \Phi_V = \Phi_W \circ f$$

On the other hand, remember the map

$$\Phi_V: V \xrightarrow{\sigma^*} V^*$$

$$\sigma \longmapsto \left( \begin{array}{c} \sigma \longmapsto \sum_{i=1}^n d_i \beta_j \sigma_i^*(\sigma_j) \\ \sigma \end{array} \right)$$

where  $\{\sigma_1, \dots, \sigma_n\}$  is a basis for  $V$  and

$$\sigma = \sum_{i=1}^n d_i \sigma_i, \quad u = \sum_{j=1}^n \beta_j \sigma_j.$$

Then given  $f: V \rightarrow W$  and a ~~same~~ basis  $\{\omega_1, \dots, \omega_m\}$

for  $W$ , then

$$\begin{array}{ccc} V & \xrightarrow{f} & W \\ \Phi_V \downarrow & & \downarrow \Phi_W \\ V^* & \xleftarrow{f^*} & W^* \end{array}$$

need not commute, as:

ulate:

$$\begin{aligned} \Phi \circ f)(\sigma) &= (\Phi \circ f)(\sum_{i=1}^n d_i \sigma_i) \\ &= \sum_{i=1}^n d_i (\Phi \circ f)(\sigma_i) \\ &= \sum_{i=1}^n d_i f(\sigma_i)^* \\ &= \sum_{i=1}^n \sum_{j=1}^m d_i \beta_j \omega_j^* \end{aligned}$$

for some  $\{\beta_1, \dots, \beta_m\} \in \mathbb{C}$ .

$$\begin{aligned} \text{So } f^*(\Phi \circ f)(\sigma) &= \sum_{i=1}^n \sum_{j=1}^m d_i \beta_j f^*(\omega_j^*) \\ &= \sum_{i=1}^n \sum_{j=1}^m d_i \beta_j \omega_j^* \circ f \end{aligned}$$

On the other hand,

$$\Phi_V(\sigma) = \sigma^* = \sum_{i=1}^n d_i \sigma_i^*$$

Are these equal? Evaluate at  $\sigma_k$ .

$$\sigma^*(\sigma_k) = \sum_{i=1}^n d_i \sigma_i^*(\sigma_k) = d_{kk}$$

$$f^*(\Phi \circ f)(\sigma)(\sigma_k) = \sum_{i=1}^n \sum_{j=1}^m d_i \beta_j \omega_j^*(f(\sigma_k)) \quad (*)$$

~~these are equal if and only if~~

$$\omega_j^*(f(\sigma_k)) = \begin{cases} 1, & j=k \\ 0, & \text{else} \end{cases}$$

~~for~~

But  $f(v_k)$  can be any element of  $\{w_1, \dots, w_m\}$ !

~~Say  $f(v_k) = w_2 \neq w$~~

Say  $f(v_k) = w_1$ .

Then  $(*) = \sum_{i=1}^n \alpha_i \beta_i \neq \alpha_k$  in general.

So this diagram <sup>need</sup> ~~does~~ not commute.

# Lecture 4, presenting mathematics 1.

(1)

Think of a math talk like an essay, you are trying to make a point. The golden rule:

Golden rule: Have a clear point you are trying to make.

Set your talk out like an essay:

Title

Introduction  
(Context, motivation)

Details, make your point

Example(s)

Conclusion

In short: Tell them what you're going to tell them, tell them, then tell them what you told them.

2<sup>nd</sup> Golden rule:

Correctness matters.

(For academic talks).

Uncertainty is fine, just be honest and communicative:

~~"This will hold in more generality"~~

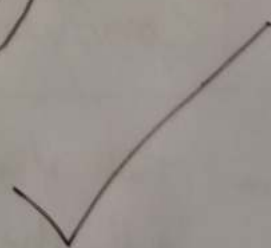
"I expect this to hold in more generality".

3<sup>rd</sup> rule:

Don't try to be interesting.

Why would anybody give up their time to listen to me say this?

Any presheaf can be written as the colimit of representables



are not entertainers, we are mathematicians. (3)  
brutal truth: a lot of math is boring. That's fine,  
our job is to get it right, not to make it  
exciting.

Later in life, you can try to make your talks  
interesting, but this will require more experience,  
more breadth of knowledge, etc. Aim to develop your  
talks over time, for your first one, just try  
to achieve the first 2 golden rules, this will  
already be hard, trust me.

Golden rule 4:

Questions from the audience matter.

Breathe, remain calm, listen, let them finish,  
pause for as long as you need to before  
replying. Remember, correctness matters.

This is like jazz improvisation, very difficult and  
an impulse skill. This takes a long time to  
become good at.

## Lecture 5: Universal properties

(12)

In life, it is less important what an object is and it is more important what an object does.

(Eg) A hammer is a small wooden shaft along with a metal item at the end, with typically one flat, circular face, and on the other end two spiky prods.

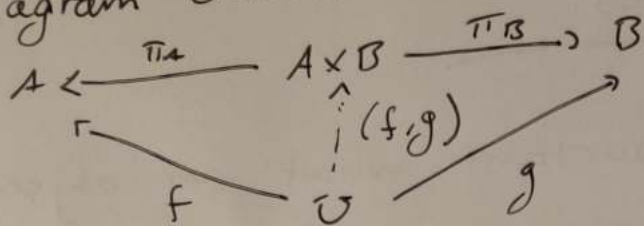
A hammer is used to nail nails.

So when you build a house, if you need to nail a nail, what should you use? Which definition makes this more obvious?

Mathematically, we can do the same thing.

Def<sup>n</sup>: A product of sets  $A, B$  is a set  $A \times B$  along with functions  $\pi_A: A \times B \rightarrow A$ ,  $\pi_B: A \times B \rightarrow B$  so that for any set  $U$  and pair of functions  $f: U \rightarrow A$ ,  $g: U \rightarrow B$ , there exists a unique function  $(f, g): U \rightarrow A \times B$  rendering the following

diagram commutative:



Eg) Take  $A \times B$  to be the cartesian product

$$(a, b) \in A \times B \iff a \in A, b \in B.$$

Along with the projection functions

$$\begin{array}{ccc} \pi_A: A \times B & \longrightarrow & A \\ (a, b) & \longmapsto & a \end{array}$$

$$\begin{array}{ccc} \pi_B: A \times B & \longrightarrow & B \\ (a, b) & \longmapsto & b. \end{array}$$

$$\begin{array}{ccc} \text{Then } (f, g): U & \longrightarrow & A \times B \\ u & \longmapsto & (f(u), g(u)). \end{array}$$

Clearly obtain commutativity. For uniqueness,

say  $\varphi: U \longrightarrow A \times B$  was another such map. Then  $\forall u \in U$ ,  $(\pi_A \varphi(u), \pi_B \varphi(u)) = (f(u), g(u))$

$$\Rightarrow (\pi_A \varphi, \pi_B \varphi) = (f, g)$$

$$\Rightarrow (\pi_A, \pi_B) \varphi = (f, g)$$

$$\Rightarrow \varphi = (f, g), \text{ as } (\pi_A, \pi_B) = \text{Id}_{A \times B}.$$

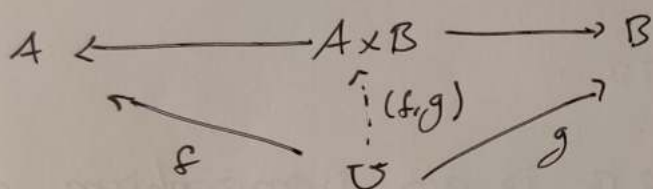


definition generalises to arbitrary categories immediately:

(14)

Def<sup>n</sup>: Let  $\mathcal{C}$  be a category. A product (if it exists) of a pair of objects  $A, B$  is an object  $A \times B$  along with a pair of morphisms  $\pi_A: A \times B \rightarrow A, \pi_B: A \times B \rightarrow B$  so that for any pair of morphisms  $f: U \rightarrow A, g: U \rightarrow B$  there exists a unique morphism  $(f, g): U \rightarrow A \times B$

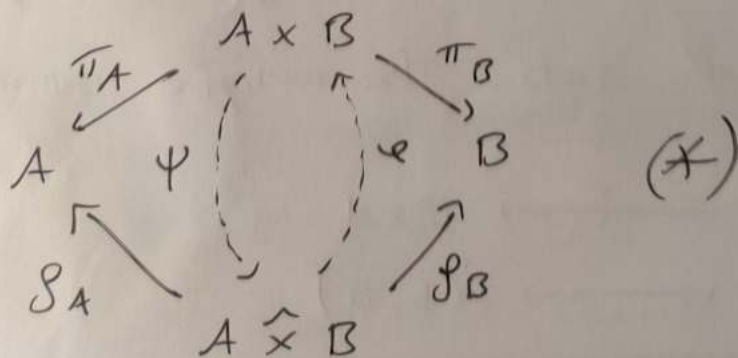
rendering the following diagram commutative:



If for every pair of objects  $(A, B) \in \mathcal{C}$  there exists a product of  $A$  and  $B$ , then  $\mathcal{C}$  has products.

Lemma: If a product exists, then it is unique up to unique isomorphism.

Proof: Let  $(A \times B, \pi_A, \pi_B), (A \hat{\times} B, \rho_A, \rho_B)$  be a pair of products. Consider the following diagram:



(15)

Then  $\varphi \circ \psi$  is a morphism rendering the following commutative:

$$\begin{array}{ccc}
 A & \xleftarrow{\pi_A} & A \times B & \xrightarrow{\pi_B} & B \\
 & \searrow & \downarrow \varphi \circ \psi & \nearrow & \\
 & & A \times B & & 
 \end{array}$$

$\text{Id}_{A \times B}$  is another such morphism, so by uniqueness,

$$\varphi \circ \psi = \text{Id}_{A \times B} .$$

Similarly,  $\psi \circ \varphi = \text{Id}_{A \times B}$  .

So  $\varphi : A \times B \longrightarrow A \times B$  is an isomorphism, and also the unique such which makes (\*) commute.  $\square$

Products are not unique though! (Only unique up to unique isomorphism).

(Eg) The set  $B \times A$  :

$$(b, a) \in B \times A \iff b \in B, a \in A$$

obvious  
with projections  $\pi_A, \pi_B$  is also a product of  $A, B$  in the category of sets. The unique isomorphism is

$$\begin{array}{ccc}
 A \times B & \longrightarrow & B \times A \\
 (a, b) & \longmapsto & (b, a) .
 \end{array}$$